

Activity Rundown for HKIDR MR 2 on 22 October 2022 (SB-user) Settlement System - GSB

9:00 - 12:00 noon	Submit BCAN-CID Mapping File and Report Forms: • Aggregated Transaction Report • BCAN Change Report • BCAN Change for LP Quote Report • BCAN Error Report • Off-Exchange Trade BCAN (Buy-Side) Report • if applicable.
	, if applicable.

Step1 upload new BCA-CID Mapping File.

In GsbHkidr,

Individual	=		
Account No. *	Account Holder No. *	Status	Name in GSB
A1234	1	A ACTIVE	A1234
English First and Middle	Name Englis	sh Last Name	
A1234			
Chinese Name			
BCAN No.(HKIDR)	BCAN No.(CNBCAN)		
11234			
ID TYPE			
Please select	\$		
Country			
Issuance			
ID No.			
A1234567(8)			
HConcent	CNConcent		
Yes VO	Yes No		
HK Consent_Date	CN Consent_Date		
17 0	10.00		

In" HKIDR Master" change some client(s) information :

- one client, change client name
- one client, change HKConsent from Yes to No
- one client, change HKConsent from No to Yes

Then, generate BCAN-CID mapping file(date 2022-10-22)



Curr Path: C:\sb\popsb			
Export HKBCAN-CID N ZIP Format	1apping File		
OK			
Download View Log			
Please download t	he TXT format file at	the above link !!	
The Ziped Mapping	File is store at the	folder "out"	

Similarly, EP could get its CE Number from the Identity Code field under File Submission of BCAN-CID Mapping File for HKIDR. For example, it is ABC123 in the below example.

File Submission BCAN-CID Mapping File for HKIDR

BOAN-OID Mapping File for FIRIDR			
Identity Relevant Regulated Intermediaries	Identity Coo ABC123	le	•
File HBCNMAPP_ABC123_20221022.zip	Q		
Submit Cancel			
Information Submit (HBCNMAPP) File with Filename (HBCNMAPP_AAA000_20221022_zin).			
Checksum [192F6B613B062141902DCCE42632EFF4E0203699C854984A40470DDBA8BB739E] successfully			
ŮK.			

remark:

1:00pm – 8:00pm	Retrieve and verify the BCAN-CID Process Result File and BCAN Full Image File
-----------------	--

• Retrieve and verify BCAN-CID Process Result File and BCAN Full Image File from download corner



Step2 submission of reporting forms

Aggregated Transaction Report

1		_		Aggregated Transacti	on Report (HK	Investor ID	Regime)		
2	Generate CSV			合併交易指令華	最告(香港投資者	音識別碼制	度)			
3										
4	* To be reporte	d by the Regulated I	ntermediary who aggregated the	order						
5	* 由合併交易	旨令的註冊機構申	報							
б	A	В	С	D	E	F	G	Н	I	1
7	Item No.	Reporting Date	CE No. of Reporting Regulated Intermediary	Executing Exchange Participant Firm ID	Transaction Date	Stock Code	Price	Quantity	Side (B/S)	Underlying Individual CE No. and BCAN
8	編號	申報日期 (YYYYMMDD)	申報註冊機構的中央編號	執行交易的交易所參與者 代號	交易日期 (YYYYMMDD)	股票編號	價格	股數	買入 / 沽出	個別相關券商客戶編碼 (註冊機構的中央編號 + "." + 券商客戶編碼)
9	(E.g) 1	20210311	ABC123	1234	20210309	5	43.1	10000	В	ABC123.8888888
10	(E.g) 2	20210311	ABC123	1234	20210309	5	43.1	30000	В	ABC123.9999999
11	1	20221022	ABC123	1888	20221022	2269	55	50000	В	ABC123.12345
12	2	20221022	ABC123	1888	20221022	2269	54	50000	В	ABC123.67890
13										
14			Mie	rosoft Excel		×				
15										
16			Su	cessfully generate in C:\HKIDR\Reporting	g Form Templates\Aggrega	ted				
17				INSCION REPORTS INCOMING ADDIES	661066.034					
18						_				
19					確力					
20										

zipped HBCNATBN_ABC123_20221022.csv to HBCNATBN_ABC123_20221022.zip

Login ECP Web Interface and click "Submission" hyperlink in the navigation bar. Click "Aggregated Transaction and BCAN Change Reports" hyperlink for submission.

File Submission Aggregated Transaction and BCAN Change Reports	6	
Identity Relevant Regulated Intermediaries	Identity Code ABC123	•
File HBCNBCBN_ABC123_20221022.zip	Q	
Submit Cancel		

BCAN Change Report

	**	~	~	-			~
1				BCAN Change Report (HK I	nvestor ID Regime)		
2	Generate CSV			更改券商客戶編碼報告(香港	投資者識別碼制度)		
3							
4	* To be reported	by the Regulated	Intermediary who changed	the BCAN			
5	* 由更改客戶編	碼的註冊機構申	報				
6	Α	В	С	D	E	F	G
7	Item No.	Reporting Date	CE No. of Reporting Regulated Intermediary	Original CE No. and BCAN (CE No. + "." + BCAN)	Revised CE No. and BCAN (CE No. + "." + BCAN)	Changed Date	Reason of Change
8	编號	申報日期 (YYYYMMDD)	申報註冊機構的中央 編號	原有的券商客戶編碼 (註冊機構的中央編號 + "." + 券商客戶編碼)	更改後的券商客戶編碼 (註冊機構的中央編號 + "." + 券商客戶編碼)	生效日期 (YYYYMMDD)	變更原因
9	(E.g) 1	20210311	ABC123	ABC123.23456	ABC123.2345698765	20210310	Reason 1
10	(E.g) 2	20210311	ABC123	ABC123.998765412	ABC123.2345	20210310	Reason 2
11	1	20221022	ABC123	ABC123.999123	ABC123.444554	20221022	for test bcan
12	2	20221022	ABC123	ABC123.443334	ABC123.42112	20221022	for test 2
13			Microsoft E	xcel	×		
14							
15			Current II				
16			Report\HBC	NBCBN ABC123 20221022.csv	je		
17							
18							
19				確定			
20							
21							

zipped HBCNBCBN_ABC123_20221022.csv to HBCNBCBN_ABC123_20221022.zip



Login ECP Web Interface and click "Submission" hyperlink in the navigation bar. Click "Aggregated Transaction and BCAN Change Reports" hyperlink for submission.

File Submission Aggregated Transaction and BCAN Change Repor	ts	
Identity Relevant Regulated Intermediaries	Identity Code ABC123	Ŧ
File HBCNBCBN_ABC123_20221022.zip	٩	
Submit Cancel		

BCAN Error Report

1	A	В	C	D	E	F	G	Н	1	1
1		_			BCAN Error Report (HK Investor II) Regime)				
2	Generate CSV			ذ	券商客戶編碼錯過報告 (香港投資者)	識別碼制度)				
						()))))))))))))))))))))))))))))))))))))				
2										
4	* To be reported	by the Executin	ng Exchange Participant							
5	* 田執行交易的	1交易所參與者	甲報							
5	A	B	С	D	E	F	G	Н		1
7	Item No.	Reporting Date	Executing Exchange Participant Firm ID	Transaction Date	Stock Code	Trade Quantity	Trade Side (B/S)	Original CE No. and BCAN input in OTP-C	Revised CE No. and BCAN	Trade ID (OTP-C)
3	編號	申報日期 (YYYYMMDD)	執行交易的交易所參與 者代號	交易日期 (үүүүммоо)	股票編號	股數	買入/沽出	輸入於OTP-C的錯誤券商客戶編碼 (註冊機構的中央編號 + "." + 券商客 戶編碼)	更正的券商客戶編碼 (註冊機構的中央編號 + "."+券商客戶編碼)	交易編號 (OTP-C)
э	(E.g) 1	20210309	1234	20210309	2345	200000	В	ABC123.23456	ABC123.2345698765	2345000000001
0	(E.g) 2	20210309	1234	20210309	7654	1500000	S	ABC123.6789	ABC123.987654321	7654000000002
1	1	20221015	1888	20221015	2269	500000	S	ABC123.4444	ABC123.333232	500000001
2	2	20221015	1888	20221015	5	400000	В	ABC123.4433	ABC123.12111	500000002
3 4 5 6 7 8 9 9				Microsoft Excel	X IDRReporting Form Templates(BCAN Error 22015.cv/ 建定					

*Must be same as the submission date

BCAN Change for LP Quote Report

				-								
1					E	BCAN Ch	ange fo	r LP Quote F	Report (HK Investor ID Regime)			
2	Generate	CSV			更改流	通量提信	共者報信	責的券商客 月	□編碼報告(香港投資者識別碼制度)			
3	,											
4	* To be repo	orted by the L	iquidity Provider									
5	*田滨迪量	供應商甲報					-					
6	A	В	<u> </u>	D	Е	F	G	н	I	J	K	L
7	Item No.	Reporting	Executing Exchange Participant Firm ID	Transaction	Time of BCAN Modification in	Stock	Quantit	Side (B/S)	Original CE No. and BCAN input in OTP-C	Revised CE No. and BCAN	Order ID (OTP-C)	Reason of
		11:48 11:18		交易日期	於OTP.C更改造成金白線運動成		1 .					
	82.99	(YYYYM	交易所金圓者代號	(VYYYMMDD	Bill	85.00.62.02	85-86	留入7法出	於OTP-C 輸入的原有券商客戶編碼	更新的券商客戶編碼	Z品指今編第(OTP.C	440 10 10 10 10
	-	MDD	200710-2011102	(1111)	(HH-MM-SS)			- sever min	(註冊機構的中央編號 + "." + 券商客戶編碼)	(註冊機構的中央編號 + "." + 券商客戶編碼)	Contra Contra	Se acorres
8	(D.).1	andby	1004	0000000	10.11.12	0045	1000				007.401	D
9	(E.g) 1	20210310	1234	20210309	10:11:12	2345	1000	В	ABC123:23456	ABC123:2345698765	237421	Reason 1
10	(E.g) 2	20210310	1234	20210309	13:12:20	40561	230000	S	ABC123.23456	ABC123.2345698765	772320	Reason 2
11	1	20221015	15300	20221015	10:12:20	5	40000	В	ABC123.12345	ABC123.67890	123456	Reason 1
12	2	20221015	15300	20221015	10:12:20	2259	50000	В	ABC123.67890	ABC123.12345	123457	Reason 2
13					Manage & Sugal				×			
14					Microsoft Excel				^			
15												
16					Successfully generate in CAHKIDR	Reporting F	orm Temp	lates\BCAN Chan	ge for LP			
17					Quote Report\HBCNLQBN_15300	_20221015.cs	W i					
18												
19								_				
20									確定			

zipped HBCNLQBN_01888_20221015.csv to HBCNLQBN_01888_20221015.zip

Login ECP Web Interface and click "Submission" hyperlink in the navigation bar. Click "BCAN Change for LP Quote, BCAN Error and Off-exchange Trade BCAN (Buy-Side) Reports" hyperlink for submission.

979 FII			
wnload Corner	File Submission		
bmission	BCAN Change for LP Quote, BCAN Error and Off-excl	nange Trade BC	AN (Buy-Side)
tivity Enquiry	Identity SEHK Participant	Identity Code 1888	-
TP Key Management	File	0	
AN-CID Mapping File ent Consent	HBCNLQBN_01888_20221015.zip	4	
AN-CID Mapping File cryption			
iormation ~	Enquiry: BSS Support Team - Hotline: 2840-3351 Email: bsss	upport@hkex.c	om.hk



Off-exchange Trade BCAN (Buy -Side) Report

1		Of	f-exchange Trade BCA	N (Buy-Side) Re	port (HK In	vestor ID Regime)		
2	Generat	e CSV 非白	動對盤交易(買方)交	易券商客戶編碼	報告 (香港	投資者識別碼制度)		
3	4							
4	* To be ret	norted by the buying Fa	change Participant of non-direct	husiness transaction w	ho failed to innu	t BCAN in OTP-C		
5	* 由未能存	FOTP-C 輸入券商客戶	编碼的非兩邊客交易的買方交	易所參與者申報	lie hilled to hipu	i bont in on o		
6	A	B	С	D	E	F	G	Н
7	Item No.	Reporting Date	Executing Exchange Particinant Firm ID	Transaction Date	Stock Code	Trade Quantity	Buy-side CE No. and BCAN	Trade ID (OTP- C)
8	编號	申報日期 (YYYYMMDD)	交易所參與者代號	交易日期 (YYYYMMDD)	股票编號	股數	買方的券商客戶編碼 (註冊機構的中央編號 + "." + 券商客戶編碼)	交易編號 (OTP-C
9	(E.g) 1	20210309	1234	20210309	2345	200000	ABC123.23456	234500000002
10	(E.g) 2	20210309	1234	20210309	3281	300000	ABC123.25578901	328100000003
11	1	20221022	1888	20221022	2269	500000	ABC123.4443	2269000000001
12	2	20221022	1888	20221022	5	400000	ABC123.1222	500000001
13 14 15 16 17 18 19 20			Microsoft Excel Successfully generate in C: (Buyside) Report\HBCNOTE	HKIDR\Reporting Form 1 SN_01888_20221022.csv	iemplates\OE Trac	× le BCAN		
~ .								

wnload Corner	File Submission				
bmission	BCAN Change for LP Quote, BCAN Error and Off-exe	change Tr	ade BCAN (B	uy-Side) F	Reports
tivity Enquiry	Identity SEHK Participant	Identity Co 1888	ode	-	
TP Key Management	File				
AN-CID Mapping File ent Consent	HBCNOTBN_01888_20221022.zip	Q			
AN-CID Mapping File cryption					
iormation 🗸	Enquiry: BSS Support Team - Hotline: 2840-3351 Email: bss	support@)hkex.com.hk		

5:00pm - 8:00pm, In Download Corner, Download Result File for Reporting Forms

Time Subject Last Ava 2022-05-20 16:44 BCAN-CID Response File 2022-05 2022-05-20 BCAN Change Report Result File 2022-05					
Filter All To (HK Time) 2022-05-14 To (HK Time) 2022-05-20 Search Subject Last Ava 2022-05-20 15:44 BCAN-CID Response File 2022-05 2022-05-20 04:00 BCAN Change Report Result File 2022-05	Download Corner				
Frem (HK Time) 2022-05-14 To (HK Time) 2022-05-20 Search Image: Control of the search of th	Filter All	Ŧ			
Search Last Ava Time Subject Last Ava 2022-05-20 15:44 BCAN-CID Response File 2022-05 2022-05-20 04:00 BCAN Change Report Result File 2022-05	From (HK Time) 2022-05-14	Ö	To (HK Time) 2022-05-20	İ	
Time Subject Last Ava 2022-05-20 15:44 BCAN-CID Response File 2022-05 2022-05-20 04:00 BCAN Change Report Result File 2022-05	Search				
2022-05-20 15:44 BCAN-CID Response File 2022-05 2022-05-20 04:00 BCAN Change Report Result File 2022-05	Time		Subject		Last Avai
2022-05-20 04:00 BCAN Change Report Result File 2022-05-	2022-05-20 15:44		BCAN-CID Response File		2022-05-2
	2022-05-20 04:00		BCAN Change Report Re	sult File	2022-05-2

IMPORTANT

Before 6:00 pm, 24 October 2022 Complete and return the Feedback Form for MR 1 and MR 2 to HKEX through ECP 2.0 (https://ecp2.hkex.com.hk/). HKIDRMR_XXXXX.pdf (where XXXXXX is CE no. of RRI)