

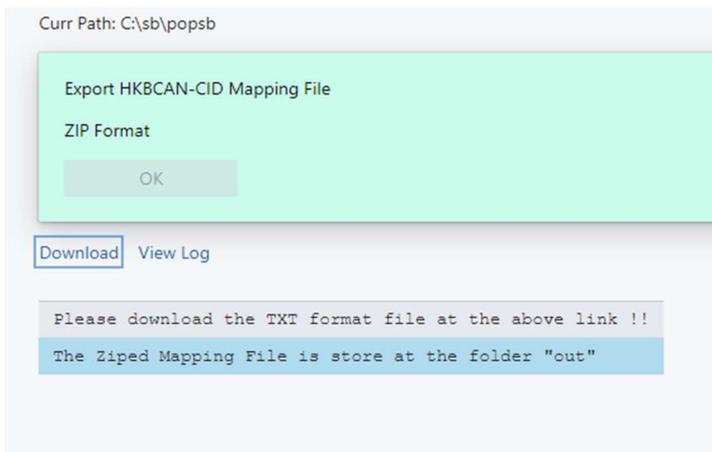
2022年4月29日

Activity Rundown for HKIDR MR 1 on 15 October 2022

Step 1. Export BCAN-CID Mapping File

9:00 - 12:00 noon	Submit BCAN-CID Mapping File only, a corresponding BCAN-CID Response File will be returned within 10 minutes after submission.
-------------------	---

GSBHKIDR system



Click view log to check the export Bcan-cid mapping file

```

18:28:19 [Info] Export HKBCAN-CID Mapping File Starting
18:28:19 [Info] check export A/C A02 [6 ], Bcan No. [102 ] ok.
18:28:19 [Info] check export A/C A03 [6 ], Bcan No. [103 ] ok.
18:28:19 [Info] check export A/C A104 [1 ], Bcan No. [1104 ] ok.
18:28:19 [Info] check export A/C A105 [1 ], Bcan No. [1105 ] ok.
18:28:19 [Info] check export A/C A1234 [1 ], Bcan No. [11234 ] ok.
18:28:19 [Info] check export A/C A24 [1 ], Bcan No. [124 ] ok.
18:28:19 [Info] check export A/C A28 [1 ], Bcan No. [9999128 ] ok.
18:28:19 [Info] Export Result: 7 record(s) Exported , 0 record(s) Error(s).
18:28:19 [Info] Export HKBCAN-CID Mapping File Completed.
  
```

Content of Bcan-cid mapping file (HBCNMAPP_ABC123_20221015.zip)

HBCNMAPP_ABC123_20221015.txt						
1	HHBCNMAPP		1ABC12320221015	1		
2	D	1	6ABC123	102	1	abc
3	D	2	1ABC123	1104	1JINHUNG CLIENT 1	
4	D	3	1ABC123	11234	1A1234	
5	D	4	1ABC123	124	1A24	NAME1 OF A24
6	D	5	1ABC123	9999128	1KELVIN 劉	
7	F	5				

Right click download for bcan-cid mapping file. HBCNMAPP_[CENUM]_YYMMDD.ZIP

(where <CENUM> is CE number of the EP/non-EP Relevant Regulated Intermediary which submits this file.)

2022年4月29日

Step 2. Upload BCAN-CID mapping file to ECP

Link: <https://ecp2.hkex.com.hk>

Prior to the first time submission of the BCAN-CID Mapping File, EPs/non-EP Relevant Regulated Intermediaries must make a one-off representation to HKEX via ECP to confirm that consent from all clients have been obtained for the transfer of clients’ personal data to HKEX and the SFC. Otherwise, the submission of the file will be rejected by ECP. Please note that the representation, once made (by clicking “Accept”), cannot be reverted , AAA000 is ce number.

BCAN-CID Mapping File Client Consent

PLEASE READ THIS AGREEMENT CAREFULLY. YOU MUST ACCEPT THE TERMS BEFORE UPLOAD BCAN-CID MAPPING FILE.

Sample BCAN-CID MAPPING FILE CLIENT CONSENT

AAA000

Accept

The following is a typical File Submission menu displays for EP.

File Submission

- [Aggregated Transaction and BCAN Change Reports](#)
- [BCAN Change for LP Quote, BCAN Error and Off-exchange Trade BCAN \(Buy-Side\) Reports](#)
- [BCAN-CID Mapping File for HKIDR](#)

And then press ok button and download the bcان-cid mapping file for upload ECP

Similarly, EP could get its CE Number from the Identity Code field under File Submission of BCAN-CID Mapping File for HKIDR. For example, it is AAA000 in the below example.

File Submission

BCAN-CID Mapping File for HKIDR

Identity Relevant Regulated Intermediaries	Identity Code AAA000
File HBCNMAPP_AAA000_20220225.zip	
<input type="button" value="Submit"/> <input type="button" value="Cancel"/>	

2022年4月29日

Step 3. Check BCAN-CID Process Result File and BCAN Full Image File in ECP2.0

1:00pm – 8:00pm	Retrieve and verify the BCAN-CID Process Result File and BCAN Full Image File in ECP2.0
-----------------	---



After downloaded the file , it can be browsed from “ Read HKBCAN-CID Response File/ Process Result File / HKBCAN-CID BCAN Full Image Reconcile File.

